

Next Steps

The Steps To Financial Growth

1

Information Gathering



2

Review



3

Proposal



4

Presentation



5

Implementation



Notes



Next Steps

Step 1

- Advisor provides the list of required documents to the client
- Advisor supplies questionnaire for completion by the client
- The client collects all personal and corporate information and makes it available to Serre Financial
- Confidentiality is maintained
- No cost for consulting up until this point

Step 2

- Serre Financial analyzes the client's entire situation- including family, business, retirement planning, and immediate goals
- Further information or clarification is obtained as required
- Written quotation is presented by Serre Financial
- Client provides signed authorization necessary for Serre Financial to proceed

Step 3

- Written analysis is provided by Serre Financial
- Analysis is presented to the client's desired team
- Tax saving strategies and recommendations for corporate structure and personal goals are outlined
- Client's signature is required to move closer to strategy implementation

Step 4

- Serre Financial support staff implement the applicable strategies, including registration of the plan(s)
- Client's team members are made aware of process status

Step 5

- Immediate Tax Savings are recognized in many situations
- Ongoing plan compliance, annual filings, and other regulatory requirements are completely handled by Serre Financial
- Serre Financial continues to work with the client and their desired team to maximize savings and minimize taxes